

Form PTO-1390  
(REV 10-97)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

19601-000120US

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5)

**09/600348**

INTERNATIONAL APPLICATION NO.

PCT/US99/00835

INTERNATIONAL FILING DATE

13 January 1999

PRIORITY DATE CLAIMED

14 January 1998

TITLE OF INVENTION

EXTENDIBLE STENT APPARATUS

APPLICANT(S) FOR DO/EO/US

VARDI, GIL M. et al.

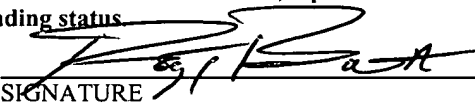
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19<sup>th</sup> month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments as to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An unexecuted oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary examination Report under PCT Article 36 (35 U.S.C. 371(c)(5))

Items 11. to 16. below, concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: (1) Written Opinion (2) Examination Report and (3) Search Report

BEST AVAILABLE COPY

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.53) <b>09/600348</b>		INTERNATIONAL APPLICATION NO. PCT/US99/00835		KEY'S DOCKET NUMBER 19601-000120US	
17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO 840.00 International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) 670.00 No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but international search fee paid to USPTO (37 C.F.R. 1.445(a)(2)) 760.00 Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO 970.00 International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) 96.00 <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>CALCULATIONS PTO USE ONLY</b>	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				<b>\$ 670.00</b>	
				<b>\$ 130.00</b>	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	33-20=	13	x \$18.00	<b>\$ 234.00</b>	
Independent Claims	7-3=	4	x \$7800	<b>\$ 312</b>	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00	<b>\$</b>	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$</b>	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 C.F.R. 1.9, 1.27, 1.28).				<b>\$</b>	
<b>SUBTOTAL =</b>				<b>\$</b>	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).				<b>\$</b>	
<b>TOTAL NATIONAL FEE =</b>				<b>\$</b>	
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property				<b>\$</b>	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$</b>	
				<b>Amount to be refunded:</b>	<b>\$</b>
				<b>charged</b>	<b>\$1346.00</b>
a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 20-1430 in the amount of <b>\$ 1346.00</b> to cover the above fees. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 20-1430. A duplicate copy of this sheet is enclosed.					
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>					
SEND ALL CORRESPONDENCE TO: Roger T. Barrett Townsend and Townsend and Crew LLP Two Embarcadero Center, 8th Floor San Francisco, CA 94111			 SIGNATURE Roger T. Barrett NAME		
DE 7019590 v1			41,599 REGISTRATION NUMBER		

09/600348

534 Rec'd PCT/PTC 14 JUL 2000

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Attorney Docket No. 19601-000120US

IN THE UNITED STATES DESIGNATED OFFICE (DO/EO/US)

IN RE APPLICATION OF:

Gil M. Vardi et al.

International No.: PCT/US99/00835

International Filing Date: 13 January 1999

Priority Filing Date: 14 January 1998

FOR: EXTENDIBLE STENT APPARATUS

BOX PCT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Mailing Label No. EK435478485US

Date of Deposit: July 14, 2000

I hereby certify that the following attached items:

1. Transmittal Letter (2 pages in duplicate)
2. Preliminary Amendment
3. Unexecuted Combined Declaration and Power of Attorney
4. Copy of International Application
5. Written Opinion
6. Search Report
7. Examination Report

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents, Box PCT, Washington, D.C. 20231

Sara B. McPeak

Type or print name of person depositing



Signature of person depositing